



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 785.00

Complete if Known

Application Number	10/077,611
Filing Date	February 15, 2002
First Named Inventor	Anil Suri
Examiner Name	
Group Art Unit	
Attorney Docket No.	103753-203-NP

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 06-0923
Deposit Account Name: Goodwin Procter LLP

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	=
Multiple Dependent Claims	-3** =	X	=

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	65.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	720.00
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 785.00

SUBMITTED BY

Name (Print/Type)	Patrice A. King	Registration No. (Attorney/Agent)	44,833	Telephone	973-992-1990
Signature		Date	September 12, 2002		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



CERTIFICATE OF MAILING

#4

I hereby certify that the attached correspondence and/or fee are being deposited with the United States Postal Service as First Class Mail under 37 C.F.R. 1.8 on the date indicated above and is addressed to:
Attention: Asst. Commissioner for Patents, Washington, DC 20231.

Sept 12, 2002
Date of Deposit

Lois Maglione
Lois Maglione

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

-----X
Applicant: Anil Suri :
Serial No.: 10/077,611 :
Filed: February 15, 2002 :
Title: METHOD AND SYSTEM FOR THE :
MANAGEMENT OF STRUCTURED :
COMMODITY TRANSACTIONS AND :
TRADING OF RELATED FINANCIAL :
PRODUCTS :
Docket No: 103753-203-NP :
-----X

09/18/2002 SSESHE1 00000038 060923 10077611

01 FC:218 720.00 CH

PETITION FOR EXTENSION OF TIME

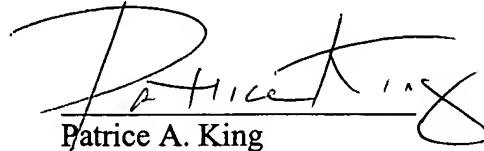
Assistant Commissioner for Patents
Washington, DC 20231

Dear Sir:

The undersigned attorney petitions the Assistant Commissioner for Patents to extend the time for filing a reply to the Notice to File Missing Parts of Application of March 12, 2002 for four months from June 12, 2002 to September 12, 2002. The extension fee of

\$720.00 and any deficiency in fees associated with this petition may be charged to
Goodwin Procter LLP Deposit Account 06-0923.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Patrice A. King", with a large, stylized flourish at the end.

Patrice A. King
Reg. No. 44,833
Goodwin Procter LLP
7 Becker Farm Road
Roseland, NJ 07068
(973) 992-1990